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PATENT APPLICATION  
CH-8423  
LEA 36,554

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICATION OF )  
HORST BERNETH ET AL ) PCT/EP2004/006727  
SERIAL NUMBER: 10/562,594 )  
FILED: JUNE 22, 2004 )  
TITLE: METHOD FOR PRODUCING )  
ALKOXY-SUBSTITUTED )  
PHTHALOCYANINS )

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. 1.97(b)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This disclosure statement under 37 CFR 1.97 & 1.98 is submitted before the first Office Action in order that the Patent and Trademark Office may consider the relevancy of certain information to the invention described and claimed in the subject application, and in compliance with the regulations concerning information disclosure statements.

Enclosed are copies of the following:

- a) the Search Report received in the corresponding application pending in the European Patent Office and an English language translation thereof;  
and

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an enveloped addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 or facsimile transmitted to the USPTO on the date below:

Lisa Reagle

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*Lisa Reagle*

Signature

October 10 2006


Date

- b) the documents cited by the European Patent Office in the Search Report and a corresponding English language equivalent listed on the attached Form PTO 1449.

This Information Disclosure Statement should not be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, that any document mentioned herein constitutes prior art, or that the documents listed severally or in any combination with one another or with any other information, are believed to render any claim in the subject application prima facie unpatentable.

Respectfully submitted,

By

  
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Form PTO 1449 Rev. 7-80 NOV 03 2006 PATENT & TRADEMARK OFFICE	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. CH8423/LeA36554	Serial No. 10/562,594
	LIST OF PRIOR ART CITED BY APPLICANT (Use Several Sheets If Necessary)		
	Applicant Berneth Horst		Filing Date

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,334,714	08/02/1994	Oguchi, et al.			
	AB	5,663,326	09/02/1997	Wolleb			
	AC						
	AD						
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	AG						
	AH						
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	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No*
	AL	EP1424323 (abstract attached)	06/02/2004	Europe				
	AM							
	AN							
	AO							
	AP							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		RAGER, C., ET AL.; "Influence of substituents, reaction conditions and central metals on the isomer distributions of 1(4)-tetrasubstituted phthalocyanines"; Chemistry - A European Journal, VCH Publishers, US, Bd. 5, Nr. 1, 1999, pp. 280-288.
	AS		LEZNOFF, C. C., ET AL.; "The Use of Bisphthalonitriles in the Synthesis of Side-Strapped 1, 11, 15, 25-Tetrasubstituted Phthalocyanines"; Canadian Journal of Chemistry, National Research Council, Ottawa, CA, Bd. 74, Nr. 3, 1996, pp. 307-318.
	AT		

EXAMINER	DATE CONSIDERED
EXAMINER Initial if references considered, whether or not citation is in conformance with MPEP 609: Draw line through if not in conformance and not considered. Include copy of this form with next communication to applicant.	

\* Neither English Language Equivalent nor an English Language Translation is available.